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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42P16676  
 First Inventor Steven Keating, et al.  
 Title An Amorphous Etch Stop For The Anisotropic Etching of Substrates

Express Mail Label No. EV 409362541 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 24.]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 14.]
5. Oath or Declaration (unsigned) [Total Pages 5.]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

 Customer Number

08791

or  Correspondence address below

|         |  |           |                |          |                |
|---------|--|-----------|----------------|----------|----------------|
| Name    | Blakely, Sokoloff, Taylor & Zafman LLP |           |                |          |                |
| Address | 12400 Wilshire Boulevard, 7th Floor    |           |                |          |                |
| City    | Los Angeles                            | State     | California     | Zip Code | 90025          |
| Country |  | Telephone | (408) 720-8300 | Fax      | (408) 720-8383 |

Name (Print/Type) Michael A. Bernadicou

Registration No. (Attorney/Agent)

35,934

Signature

Date 12/30/03

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$ 1,208.00)

*Complete if Known*

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          |                |
| First Named Inventor | Steven Keating |
| Examiner Name        |                |
| Group/Art Unit       |                |
| Attorney Docket No.  | 42P16676       |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     | 770.00   |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          | (\$)         |          |                        | 770.00   |

### 2. EXTRA CLAIM FEES

| Total Claims       | 30 | - 20* = | 10 | X | 18.00 | = | Fee Paid |
|--------------------|----|---------|----|---|-------|---|----------|
|                    |    |         |    |   |       |   |          |
| Independent Claims | 6  | - 3* =  | 3  | X | 86.00 | = | \$258.00 |
| Multiple Dependent |    |         |    |   |       |   |          |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |          |
| 1203         | 290      | 2203         | 145      | Multiple Dependent claim, if not paid                     |          |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          | (\$)         |          |   | 438.00   |

*\*\*or number previously paid, if greater. For Reissues, see below*

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee Paid  |
|---------------------|--------------|-----------------|---|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$)  |
| 1051                | 130          | 2051            | 65 Surcharge - late filing fee or oath  |
| 1052                | 50           | 2052            | 25 Surcharge - late provisional filing fee or cover sheet.                    |
| 2053                | 130          | 2053            | 130 Non-English specification   |
| 1812                | 2,520        | 1812            | 2,520 For filing a request for <i>ex parte</i> reexamination                  |
| 1804                | 920*         | 1804            | 920* Requesting publication of SIR prior to Examiner action                   |
| 1805                | 1,840*       | 1805            | 1,840* Requesting publication of SIR after Examiner action                    |
| 1251                | 110          | 2251            | 55 Extension for reply within first month                                     |
| 1252                | 420          | 2252            | 210 Extension for reply within second month                                   |
| 1253                | 950          | 2253            | 475 Extension for reply within third month                                    |
| 1254                | 1,480        | 2254            | 740 Extension for reply within fourth month                                   |
| 1255                | 1,210        | 2255            | 605 Extension for reply within fifth month                                    |
| 1404                | 330          | 2401            | 165 Notice of Appeal  |
| 1402                | 330          | 2402            | 165 Filing a brief in support of an appeal                                    |
| 1403                | 290          | 2403            | 145 Request for oral hearing  |
| 1451                | 1,510        | 2451            | 1,510 Petition to institute a public use proceeding                           |
| 1452                | 110          | 2452            | 55 Petition to revive - unavoidable   |
| 1453                | 1,330        | 2453            | 665 Petition to revive - unintentional  |
| 1501                | 1,330        | 2501            | 665 Utility issue fee (or reissue)  |
| 1502                | 480          | 2502            | 240 Design issue fee  |
| 1503                | 640          | 2503            | 320 Plant issue fee   |
| 1460                | 130          | 2460            | 130 Petitions to the Commissioner   |
| 1807                | 50           | 1807            | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806                | 180          | 1806            | 180 Submission of Information Disclosure Stmt                                 |
| 8021                | 40           | 8021            | 40 Recording each patent assignment per property (times number of properties) |
| 1809                | 770          | 1809            | 385 Filing a submission after final rejection (37 CFR § 1.129(a))             |
| 1810                | 770          | 2810            | 385 For each additional invention to be examined (37 CFR § 1.129(b))          |
| 1801                | 770          | 2801            | 385 Request for Continued Examination (RCE)                                   |
| 1802                | 900          | 1802            | 900 Request for expedited examination of a design application                 |
| Other fee (specify) |              |                 |   |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

|                   |   |                                   |        |           |                |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Michael A. Bernadicou   | Registration No. (Attorney/Agent) | 35,934 | Telephone | (408) 720-8300 |
| Signature         |  |                                   |        | Date      | 10/30/03       |

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 08/11/2003.  
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450